**Template #79: Health surveillance data *(Version [8.1]-[November 2021])***

The following table gives a detailed description of the type of information prompted for by the data entry fields.

| **Line no.** | **Field name** | **Field type**  **Display type** | **Picklist**  **Freetext template** | **Help text** | **Remarks**  **Guidance**  **Cross-reference** |
| --- | --- | --- | --- | --- | --- |
|  | **Administrative data** | **Header 1** |  |  |  |
|  |  | Confidentiality  Display: Basic |  |  |  |
|  | Endpoint | List sup. (picklist with remarks)  Display: Basic | **Picklist values:** - health surveillance data | From the picklist select the relevant endpoint addressed by this study summary. In some cases there is only one endpoint title, which may be entered automatically depending on the software application.  If multiple study types are covered by the same data entry form, the specific study type should be selected. If none matches, select the more generic endpoint description '<Generic endpoint>, other' (e.g. Skin irritation / corrosion, other) and give an explanation in the adjacent text field. The generic endpoint title reflects the title of the corresponding OECD Harmonised Template (OHT).  Please note: For (Q)SAR studies the generic endpoint title should be selected, normally with no need to fill in the adjacent text field, as '(Q)SAR' needs to be indicated in field 'Type of information' and the model should be described in field 'Justification of non-standard information' or 'Attached justification'. A specific endpoint title may be used, if addressed by the (Q)SAR information, i.e. the model behind has been validated by experimental data addressing this endpoint.  Note: For the purpose of OHTs, an 'endpoint' is defined in the rather broad sense as an observable or measurable inherent property of a chemical substance which may be specified by the relevant regulatory framework as 'information requirement' (e.g. Boiling point, Sub-chronic toxicity: oral, Fish early-life stage toxicity). In a narrower sense, the term '(eco)toxicity endpoint' refers to an outcome or effect observed in a study. |  |
|  | Type of information | List sup. (picklist with remarks)  Display: Basic | **Picklist values:** - experimental study - experimental study planned - experimental study planned (based on read-across) - (Q)SAR - calculation (if not (Q)SAR) - read-across based on grouping of substances (category approach) - read-across from supporting substance (structural analogue or surrogate) - mixture rules calculation - read-across from similar mixture/product - not specified - other: | Select the appropriate type of information, e.g. ' experimental study', ' experimental study planned' or, if alternatives to testing apply, '(Q)SAR', 'read-across ...'. In the case of calculated data, the value 'calculation (if not (Q)SAR)' should only be chosen if the study report does not clearly indicate whether it is based on '(Q)SAR'.  If the information is taken from a handbook or review article, select the relevant item, e.g. ‘experimental study’, if this is provided in the information source. Otherwise select ‘not specified’. Please note: In field ‘Reference type’ the option ‘review article or handbook’ should be selected. In general, the option 'not specified' should be selected if the submitter lacks the knowledge of the type of information. The option 'other:' can be used if another than a pre-defined item applies.  In the case of read-across, follow the instructions related to the relevant legislation, for instance as to whether the (robust) study summary should be entered in a separate data set defined for the read-across (source) substance and referenced in the target substance dataset.  If 'experimental study planned' or 'experimental study planned (based on read-across)' is indicated (in some legislations also defined as 'testing proposal' or 'undertaking of intended submission'), the submitter should include as much information as possible on the planned study in order to support the evaluation of the proposal. Typically, this would include at least the test guideline, information on the test material, the species and the route of administration in the corresponding distinct fields, as appropriate.  Consult any programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) on whether specific fields should be completed and/or further details should be attached in field 'Attached background material'. |  |
|  | Adequacy of study | List (picklist)  Display: Basic | **Picklist values:** - key study - supporting study - weight of evidence - disregarded due to major methodological deficiencies - other information | Indicate the adequacy of a (robust) study summary in terms of usefulness for hazard/risk assessment purposes depending on the relevant legislation.  Note: This field is only applicable (or active) if neither 'waiving of standard information' nor 'experimental study planned' has been selected in field 'Type of information'.  Explanation:   - key study: In general, a key study is the study that has been identified as most suitable to describe an endpoint from the perspective of quality, completeness and representativity of data.   - supporting study: Any other adequate study that is considered supportive for the key study or key studies.   - weight of evidence: A record that contributes to a weight of evidence justification for the non-submission of a particular (adequate) study. The weight of evidence justification is normally endpoint-related, i.e. based on all available records included in the weight of evidence evaluation. A short reasoning for why a given record is used in this respect can be provided in field 'Detailed justification / remarks'.   - disregarded due to major methodological deficiencies: study that demonstrates a higher concern than the key study/ies, but is not used as key study because of flaws in the methodology or documentation. This phrase should be selected for justifying why a potentially critical result has not been used for the hazard assessment. The lines of argumentation should be provided in field 'Rationale for reliability incl. deficiencies', accompanied by the appropriate reliability score.  - other information: any other non-relevant information which does not need to be flagged specifically as 'disregarded due to major methodological deficiencies'.  Consult any programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) on how to use this field. | **Guidance for field condition:** Condition: Field active only if 'Type of information' is not 'experimental study planned' and not ‘experimental study planned (based on read-across)’ and field 'Data waiving' is not populated (except for migrated data) |
|  | Robust study summary | Check box  Display: Basic |  | Set this flag if relevant for the respective regulatory programme or if otherwise useful as filter for printing or exporting records flagged as 'Robust Study Summary' or in combination with 'Adequacy of study'.   Explanation: The term 'Robust Study Summary' is actually used only to describe the technical content of a very detailed summary of an experimental study or of any other relevant information. It is a priori no synonym with the term 'Key study', although a key study should usually be submitted in the form of Robust Study Summary. However, a Robust Summary may also be useful for other adequate studies that are considered supportive of the key study or even for inadequate studies if they can be used for a weight-of-evidence analysis. Also for studies that are flawed, but indicate critical results, Robust Study Summaries highlighting the weaknesses of the studies need to be elaborated.   Consult any programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) on how to use this field. |  |
|  | Used for classification | Check box  Display: Basic |  | Set this flag if relevant for the respective regulatory programme or if otherwise useful as filter for printing or exporting records flagged as 'Used for classification'.  Explanation: In some use cases it may be necessary to indicate those records that are used for the classification of that substance, e.g. according to UN GHS. If not relevant, disregard this field.   Consult any programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) on how to use this field. |  |
|  | Used for SDS | Check box  Display: Basic |  | Set this flag if relevant for the respective regulatory programme or if otherwise useful as filter for printing or exporting records flagged as 'SDS information'.   Explanation: 'SDS' stands for Safety Data Sheet. In some use cases it may be necessary to indicate those records that are used for the compilation of SDS information. If not relevant, disregard this field.   Consult any programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) on how to use this field. |  |
|  | Study period | Text (255 char.)  Display: Basic |  | If applicable indicate the period during which the study was conducted, i.e. start and end date, using an unambiguous date format, e.g. 'From 12 MAY 1999 to 15 AUG 2000' or 'From May 12, 1999 to Aug. 15, 2000'.   Note: Independent of the study period the in-life period (i.e. the phase of a study following treatment in which the test system is alive/growing) may have to be specified for some toxicology endpoints. |  |
|  | Reliability | List (picklist)  Display: Basic | **Picklist values:** - 1 (reliable without restriction) - 2 (reliable with restrictions) - 3 (not reliable) - 4 (not assignable) - other: | Enter an appropriate reliability score, according to Klimisch et al. (1997):  1 = reliable without restrictions: “studies or data [...] generated according to generally valid and/or internationally accepted testing guidelines (preferably performed according to GLP) or in which the test parameters documented are based on a specific (national) testing guideline [...] or in which all parameters described are closely related/comparable to a guideline method.”  2 = reliable with restrictions: “studies or data [...] (mostly not performed according to GLP), in which the test parameters documented do not totally comply with the specific testing guideline, but are sufficient to accept the data or in which investigations are described which cannot be subsumed under a testing guideline, but which are nevertheless well documented and scientifically acceptable.”  3 = not reliable: “studies or data [...] in which there were interferences between the measuring system and the test substance or in which organisms/test systems were used which are not relevant in relation to the exposure (e.g. non-physiological pathways of application) or which were carried out or generated according to a method which is not acceptable, the documentation of which is not sufficient for assessment and which is not convincing for an expert judgment.”  4 = not assignable: “studies or data [...] which do not give sufficient experimental details and which are only listed in short abstracts or secondary literature (books, reviews, etc.).”  The 'other:' option may be selected if a different scoring system is used. Consult any programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) on how to use this field.  Note: This field is only applicable (or active) if neither 'waiving of standard information' nor 'experimental study planned' has been selected in field 'Type of information'.  Note: The term reliability defines the inherent quality of a test report or publication relating to preferably standardised methodology and the way the method and results are described. More detailed criteria can be selected in field 'Justification'. |  |
|  | Rationale for reliability incl. deficiencies | List sup. (picklist with remarks - 32,000 char.)  Display: Basic | **Picklist values:** - guideline study - [Reliability 1] - comparable to guideline study - [Reliability 1] - test procedure in accordance with national standard methods - [Reliability 1] - test procedure in accordance with generally accepted scientific standards and described in sufficient detail - [Reliability 1] - guideline study without detailed documentation - [Reliability 2] - guideline study with acceptable restrictions - [Reliability 2] - comparable to guideline study with acceptable restrictions - [Reliability 2] - test procedure in accordance with national standard methods with acceptable restrictions - [Reliability 2] - study well documented, meets generally accepted scientific principles, acceptable for assessment - [Reliability 2] - accepted calculation method - [Reliability 2] - data from handbook or collection of data - [Reliability 2] - significant methodological deficiencies - [Reliability 3] - unsuitable test system - [Reliability 3] - abstract - [Reliability 4] - secondary literature - [Reliability 4] - documentation insufficient for assessment - [Reliability 4] - results derived from a valid (Q)SAR model and falling into its applicability domain, with adequate and reliable documentation / justification - [Reliability 1 or 2] - results derived from a valid (Q)SAR model and falling into its applicability domain, with limited documentation / justification - [Reliability 2, 3 or 4] - results derived from a valid (Q)SAR model, but not (completely) falling into its applicability domain, with adequate and reliable documentation / justification - [Reliability 2 or 3] - results derived from a (Q)SAR model, with limited documentation / justification, but validity of model and reliability of prediction considered adequate based on a generally acknowledged source - [Reliability 2 or 3] - results derived from a valid (Q)SAR model, but not (completely) falling into its applicability domain, and documentation / justification is limited - [Reliability 3 or 4] - results derived from a (Q)SAR model, with limited documentation / justification - [Reliability 4] - other: | Select an appropriate standard justification from the picklist, e.g. 'Comparable to guideline study with acceptable restrictions'. Additional explanations (e.g. deficiencies observed) can be entered in the related supplementary text field. Particularly if reliability scores 2 or 3 are assigned, indicate the concrete arguments for defending a study or relevant deficiencies.  For QSAR results (i.e. 'Type of information' is '(Q)SAR') some pre-defined phrases are provided for indicating if the prediction results are considered reliable based on the scientifically validity of the (Q)SAR model used, its applicability to the query substance, and the adequacy of reporting. Please note: If (Q)SAR results are flagged as key study in field 'Adequacy of study', the relevance of the model used for the regulatory endpoint should be documented in the field where the (Q)SAR model is described, i.e. 'Justification for type of information', 'Attached justification' or 'Cross-reference'. | **Guidance for field condition:** Condition: Field active only if 'Type of information' is not 'experimental study planned' and not ‘experimental study planned (based on read-across)’. Condition 1: If 'Type of information' is not '(Q)SAR': - guideline study - [Reliability 1] - comparable to guideline study - [Reliability 1] - test procedure in accordance with national standard methods - [Reliability 1] - test procedure in accordance with generally accepted scientific standards and described in sufficient detail - [Reliability 1] - guideline study without detailed documentation - [Reliability 2] - guideline study with acceptable restrictions - [Reliability 2] - comparable to guideline study with acceptable restrictions - [Reliability 2] - test procedure in accordance with national standard methods with acceptable restrictions - [Reliability 2] - study well documented, meets generally accepted scientific principles, acceptable for assessment - [Reliability 2] - accepted calculation method - [Reliability 2] - data from handbook or collection of data - [Reliability 2] - significant methodological deficiencies - [Reliability 3] - unsuitable test system - [Reliability 3] - abstract - [Reliability 4] - secondary literature - [Reliability 4] - documentation insufficient for assessment - [Reliability 4] Condition 2: If 'Type of information' = '(Q)SAR': - results derived from a valid (Q)SAR model and falling into its applicability domain, with adequate and reliable documentation / justification - [Reliability 1 or 2] - results derived from a valid (Q)SAR model and falling into its applicability domain, with limited documentation / justification - [Reliability 2, 3 or 4] - results derived from a valid (Q)SAR model, but not (completely) falling into its applicability domain, with adequate and reliable documentation / justification - [Reliability 2 or 3] - results derived from a (Q)SAR model, with limited documentation / justification, but validity of model and reliability of prediction considered adequate based on a generally acknowledged source - [Reliability 2 or 3] - results derived from a valid (Q)SAR model, but not (completely) falling into its applicability domain, and documentation / justification is limited - [Reliability 3 or 4] - results derived from a (Q)SAR model, with limited documentation / justification - [Reliability 4] - other: |
|  | Data waiving | List (picklist)  Display: Basic | **Picklist values:** - study technically not feasible - study scientifically not necessary / other information available - exposure considerations - study waived due to provisions of other regulation - other justification | If appropriate, indicate here that the study has been waived, i.e. not performed. Select the basis from the picklist (e.g. 'study technically not feasible' or 'other justification'). Include a more detailed justification in the field 'Justification for data waiving' and, as needed, in field 'Justification for type of information', 'Attached justification' and/or 'Cross-reference'. Please note: the option 'study scientifically not necessary / other information available' covers cases where it can be justified that performance of a specific study prescribed by the relevant legislation is scientifically not necessary because reliable information is provided in other part(s) of the submission document.  The option 'study waived due to provisions of other regulation' can be used for indicating that another, overlapping regulation allows or requires the waiving of a specific information requirement. This should then be detailed in the justification fields.  If waiving is based on several lines of argumentation (e.g. ‘exposure considerations’ and ‘study scientifically not necessary / other information available’), create separate records for each.  Consult any programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) on how to use data waivers. | **Guidance for field condition:** Condition: Deactivate this field if any of the following fields is populated: 'Type of information', 'Adequacy of study', 'Reliability', 'Rationale for reliability'. |
|  | Justification for data waiving | List multi. (multi-select list with remarks - 32,000 char.)  Display: Basic | **Picklist values:** - other: | In addition to the more generic justification selected in the preceding field 'Data waiving', it is highly recommended to provide a detailed justification. To this end you can either select one or multiple specific standard phrase(s) if it/they give an appropriate rationale of the description given in the preceding field 'Data waiving' or 'other:' and enter free text. Additional specific explanations should be provided if the pre-defined phrase(s) do no sufficiently describe the justification.  More details can be provided using the following fields:  - Text field adjacent to this field 'Justification for data waiving' (available after selecting any picklist item in this field);  - Field 'Justification for type of information';  - Field 'Attached justification';  - Cross-reference (for referencing / linking to a justification or information referred to in the justification which is stored in another record, e.g. a record describing physico-chemical properties information used to support a data waiver)  Please note: The pre-defined phrases are not necessarily exhaustive and may not always apply. Consult the guidance documents and waiving options in the relevant regulatory frameworks. If no suitable phrase is available from the picklist, enter a free text justification using the 'other:' option. | **Guidance for field condition:** Condition: Deactivate this field if any of the following fields is populated: 'Type of information', 'Adequacy of study', 'Reliability', 'Rationale for reliability'. |
|  | Justification for type of information | Text template  Display: Basic | **Freetext template:  Option 1 Type 'Waiving of standard information'** JUSTIFICATION FOR DATA WAIVING [Specific explanation in addition to field 'Justification for data waiving'] **Option 2 Type 'Experimental study planned / Testing proposal on vertebrate animals'** TESTING PROPOSAL ON VERTEBRATE ANIMALS [Please provide information for all of the points below. The information should be specific to the endpoint for which testing is proposed. Note that for testing proposals addressing testing on vertebrate animals under the REACH Regulation this document will be published on the ECHA website along with the third party consultation on the testing proposal(s).]  NON-CONFIDENTIAL NAME OF SUBSTANCE: - Name of the substance on which testing is proposed to be carried out - Name of the substance for which the testing proposal will be used [if different from tested substance]  CONSIDERATIONS THAT THE GENERAL ADAPTATION POSSIBILITIES OF ANNEX XI OF THE REACH REGULATION ARE NOT ADEQUATE TO GENERATE THE NECESSARY INFORMATION [please address all points below]: - Available GLP studies - Available non-GLP studies - Historical human/control data - (Q)SAR - In vitro methods - Weight of evidence - Grouping and read-across - Substance-tailored exposure driven testing [if applicable] - Approaches in addition to above [if applicable] - Other reasons [if applicable]  CONSIDERATIONS THAT THE SPECIFIC ADAPTATION POSSIBILITIES OF ANNEXES VI TO X (AND COLUMN 2 THEREOF) OF THE REACH REGULATION ARE NOT ADEQUATE TO GENERATE THE NECESSARY INFORMATION: - [free text]  FURTHER INFORMATION ON TESTING PROPOSAL IN ADDITION TO INFORMATION PROVIDED IN THE MATERIALS AND METHODS SECTION: - Details on study design / methodology proposed [if relevant] **Option 3 Type 'QSAR prediction'** 1. SOFTWARE  2. MODEL (incl. version number)  3. SMILES OR OTHER IDENTIFIERS USED AS INPUT FOR THE MODEL  4. SCIENTIFIC VALIDITY OF THE (Q)SAR MODEL [[Explain how the model fulfils the OECD principles for (Q)SAR model validation. Consider attaching the QMRF and/or QPRF or providing a link] - Defined endpoint: - Unambiguous algorithm: - Defined domain of applicability: - Appropriate measures of goodness-of-fit and robustness and predictivity: - Mechanistic interpretation:  5. APPLICABILITY DOMAIN [Explain how the substance falls within the applicability domain of the model] - Descriptor domain: - Structural domain: - Mechanistic domain: - Similarity with analogues in the training set: - Other considerations (as appropriate):  6. ADEQUACY OF THE RESULT [Explain how the prediction fits the purpose of classification and labelling and/or risk assessment] **Option 4 Type 'Read-across (analogue)'** REPORTING FORMAT FOR THE ANALOGUE APPROACH [Please provide information for all of the points below. Indicate if further information is included as attachment to the same record, or elsewhere in the dataset (insert links in 'Cross-reference' table)]  1. HYPOTHESIS FOR THE ANALOGUE APPROACH [Describe why the read-across can be performed (e.g. common functional group(s), common precursor(s)/breakdown product(s) or common mechanism(s) of action]  2. SOURCE AND TARGET CHEMICAL(S) (INCLUDING INFORMATION ON PURITY AND IMPURITIES) [Provide here, if relevant, additional information to that included in the Test material section of the source and target records]  3. ANALOGUE APPROACH JUSTIFICATION [Summarise here based on available experimental data how these results verify that the read-across is justified]  4. DATA MATRIX **Option 5 Type 'Read-across (category)'** REPORTING FORMAT FOR THE CATEGORY APPROACH [Please provide information for all of the points below addressing endpoint-specific elements that were not already covered by the overall category approach justification made available at the category level. Indicate if further information is included as attachment to the same record, or elsewhere in the dataset (insert links in 'Cross-reference' table)]  1. HYPOTHESIS FOR THE CATEGORY APPROACH (ENDPOINT LEVEL) [Describe why the read-across can be performed]  2. CATEGORY APPROACH JUSTIFICATION (ENDPOINT LEVEL [Summarise here based on available experimental data how these results verify that the read-across is justified] | This field can be used for entering free text. As appropriate, one of the freetext templates can be selected (e.g. Justification for read-across (analogue)) to use pre-defined headers and bulleted elements. Delete/add elements as appropriate.  Consult any programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) on what should be taken into account when providing justifications or whether specific reporting formats should be used.  Explanations:  Option 1: Type 'Waiving of standard information':  This field should be used for entering any further lines of argumentation, if necessary, in addition to those provided in the field 'Justification for data waiving'.  Option 2: Type 'Experimental study planned / Testing proposal':  Further details can be entered here on the study design / methodology proposed in addition to details given in the distinct fields on test guideline, test material, species, route of administration and other relevant fields.  Option 3: Type 'QSAR prediction':  Based on this freetext template details on the QSAR model used can be given, in addition to the information provided in field 'Principles of method if other than guideline'.  Please note: Any information that can be re-used for several study summaries can be entered once and then assigned to the relevant studies using either the 'Attached justification' or 'Cross-reference' feature.  Option 4: Type 'Read-across (analogue)' and Option 5: Type 'Read-across (category)'  This freetext template can be used and modified as appropriate for providing a justification for read-across, particularly if it is endpoint-specific.  Please note: Any information that can be re-used for several study summaries can be entered once and then assigned to the relevant studies using either the 'Attached justification' or 'Cross-reference' feature. |  |
|  | **Attached justification** | **Block of fields (repeatable) Start** |  | The Attached justification feature can be used in case the justification is best provided in form of attached document(s).  Copy this block of fields for attaching more than one file.  Refer to the relevant legislation-specific guidance document as to the recommended use of the Attached justification feature. |  |
|  | Attached justification | Attachment (single)  Display: Basic |  | Upload file by clicking the upload icon. |  |
|  | Reason / purpose | List sup. (picklist with remarks)  Display: Basic | **Picklist values:** - data waiving: supporting information - exposure-related information - read-across: supporting information - (Q)SAR model reporting (QMRF) - (Q)SAR prediction reporting (QPRF) - (Q)SAR model and prediction reporting (QMRF/QPRF) - (Q)SAR: supporting information - justification, other: | Indicate the reason for / purpose of the attached document. Select the relevant item from the picklist or, if none applies, select 'justification, other:' and specify. |  |
|  | **Attached justification** | **Block of fields (repeatable) End** |  |  |  |
|  | **Cross-reference** | **Block of fields (repeatable) Start** |  | The cross-reference feature can be used to refer to related information that is provided in another record of the dataset. This can be done either by entering just free text in the 'Remarks' field or by creating a link to the relevant record. The field 'Reason / purpose' allows for selecting a standard reason from the picklist and optionally to add free text explanation in the related supplementary text field.  Refer to the relevant legislation-specific guidance document as to the recommended use of cross-references. |  |
|  | Reason / purpose for cross-reference | List sup. (picklist with remarks)  Display: Basic | **Picklist values:** - assessment report - data waiving: supporting information - exposure-related information - read-across source - read-across: supporting information - (Q)SAR model reporting (QMRF) - reference to other assay used for intermediate effect derivation - reference to same study - reference to other study - other: | Select the appropriate reason of the cross-reference, i.e.  - assessment report (for referring to a record that contains an assessment report as attachment)  - data waiving: supporting information (for referring to a record containing relevant endpoint information that is used to justify a data waiver)  - exposure-related information (for referring to a record containing exposure-related information that is used for instance to justify a data waiver)  - read-across source (for linking to another study summary used for read-across. This can be useful in cases where results are derived from one or several read-across sources and recorded in a separate (target) study summary.)  - read-across supporting information (for linking to another record which contains read-across justification that applies also for the current study summary)  - (Q)SAR model reporting (OMRF) (for referring to a record containing the relevant model description. Note: The (Q)SAR prediction should be reported specifically for each endpoint in the field 'Justification for type of information'.)  - reference to other assay used for intermediate effect derivation (for optional indication in a study summarising 'intermediate effects' if reference is made to the outcome of another assay)  - reference to same study (e.g. if different species were tested and the results recorded in different records),   - reference to other study (e.g. if another study is considered relevant in the interpretation of the test results),   - other: (to be specified). |  |
|  | Related information | Link to endpoint (single)  Display: Basic |  | As appropriate, select the record containing the related information, thus creating a link. | **Cross-reference:** AllSummariesAndRecords |
|  | Remarks | Text (32,768 char.)  Display: Basic |  | This field can be used for including any remarks. |  |
|  | **Cross-reference** | **Block of fields (repeatable) End** |  |  |  |
|  | **Data source** | **Header 1** |  |  |  |
|  | Reference | Link to lit. reference (multiple)  Display: Basic |  | Indicate the bibliographic reference of the study report or publication the study summary is based on. Provide general information such as Title, Author, Year, Bibliographic source, Testing Facility, Report Number, Study number, Report date etc., as requested in the core template for literature search (http://www.oecd.org/ehs/templates/Generic%20elements%20for%20all%20OHTs%20(added%20online%20Feb%202017).zip).   Always enter the primary reference in the first block of fields or sort it to the first position, if there are more than one reference to be cited. Copy this block of fields for specifying any other references related to this record (e.g. report of a preliminary study or other documentation). If results of a study report have been published, indicate the full citation of that publication(s) in addition to the reference of the original study. |  |
|  | Data access | List sup. (picklist with remarks)  Display: Basic | **Picklist values:** - data submitter is data owner - data submitter has Letter of Access - data no longer protected - data published - data submitter has permission to refer - not applicable - other: | Select appropriate indication for data access. Enter 'Not applicable' if the summary consists of information that is commonly accessible such as guidance on safe use.  Select 'data submitter has permission to refer' if the information requirement can be covered based on a permission to refer to old data as issued by the relevant regulatory agency. In addition, provide, in the adjacent free-text field, the statement according to instructions you received from the relevant regulatory authority together with the permission to refer. |  |
|  | Data protection claimed | List sup. (picklist with remarks)  Display: Basic | **Picklist values:** - yes - yes, but willing to share - yes, but not willing to share | Indicate as appropriate. Note: 'yes' should be selected only if 'Data submitter is data owner' or 'Data submitter has Letter of Access'. Options 'yes, but willing to share' or 'yes, but not willing to share' may be relevant for specific regulatory programmes where the submitter is requested to indicate whether he is willing to share studies conducted (e.g. with vertebrates).  In the supplementary remarks field, include an explanation as appropriate, i.e. justification for denial of sharing the corresponding study or refer to a document attached that provides justification (e.g. 'for justification see attached document X') |  |
|  | **Materials and methods** | **Header 1** |  |  |  |
|  | Study type | List sup. (picklist with remarks)  Display: Basic | **Picklist values:** - biological effect monitoring - biological exposure monitoring - health record from industry - health record, other - human medical data - medical monitoring - medical screening - self-reporting of symptoms - other: | Indicate the appropriate study type. Optionally, include details in the supplementary remarks field.  Definitions:  - Biological effect monitoring: involves the measurement of a biological change that is non-adverse and reversible (in contrast to medical monitoring), e.g. liver toxicity biomarkers (i.e. activity of aminotransferase and other enzymes).  - Biological exposure monitoring: measurement of biomarkers to assess the exposure from dietary, environmental or occupational sources. Biomarkers of exposure include either the measurement of levels of chemical agents and their metabolites in body fluids, tissue, cells or excreta, or the measurement of biological responses such as cytogenetic and reversible physiological changes in the exposed individuals.  - Health record from industry: a review of medical records and occupational exposure.- Health record, other: any other review of medical history and records (e.g. exposed non-occupational).  - Medical monitoring: aims to measure early signs and symptoms of adverse effects for preventive reasons.  - Medical screening: method for detecting disease or body dysfunction before an individual would normally seek medical care. Aim: early diagnosis and treatment.  - Other: any other type of study or information, e.g. self-reported symptoms. |  |
|  | Endpoint addressed | List multi. (multi-select list)  Display: Basic | **Picklist values:** - not applicable - basic toxicokinetics - dermal absorption - acute toxicity: oral - acute toxicity: inhalation - acute toxicity: dermal - skin irritation / corrosion - eye irritation - respiratory irritation - skin sensitisation - respiratory sensitisation - repeated dose toxicity: oral - repeated dose toxicity: inhalation - repeated dose toxicity: dermal - genetic toxicity - carcinogenicity - toxicity to reproduction / fertility - developmental toxicity / teratogenicity - neurotoxicity - immunotoxicity - other: | If the study recorded gives useful information on one or several of the classic endpoints, select the endpoint(s) addressed from the picklist.  Multiple selection is possible. If not listed, select 'other' and specify.  NOTE: The list of endpoints provided is a generic list. Some endpoints may not be applicable for the type of study summarised in this record. |  |
|  | **Test guideline** | **Block of fields (repeatable) Start** |  | Indicate according to which test guideline the study was conducted. If no test guideline was explicitly followed, but the methodology used is equivalent or similar to a specific guideline, you can indicate so in the 'Qualifier' subfield preceding the field 'Guideline'.  Copy this block of fields for specifying more than one guideline (e.g. US EPA in addition to OECD guideline). |  |
|  | Qualifier | List (picklist)  Display: Basic | **Picklist values:** - according to guideline - equivalent or similar to guideline - no guideline followed - no guideline available - no guideline required | Select appropriate qualifier, i.e.  - 'according to guideline' (if a given test guideline was followed);  - 'equivalent or similar to guideline' (if no test guideline was explicitly followed, but the methodology is equivalent or similar to a specific guideline);  - 'no guideline followed' (if none of above qualifiers apply. If so, fill in field 'Principles of method if other than guideline');  - 'no guideline available' (if so, fill in field 'Principles of method if other than guideline').  - 'no guideline required' (if so, fill in field 'Principles of method if other than guideline'). |  |
|  | Guideline | List (picklist)  Display: Basic | **Picklist values:** - other: | Select the applicable test guideline, e.g. 'OECD Guideline xxx'. If the test guideline used is not listed, choose 'other:' and specify the test guideline in the related text field. Information on the version and date of the guideline used and/or any other specifics can be entered in the next field 'Version / remarks'.  If no test guideline can be specified, this should be indicated in the preceding field 'Qualifier'. The method used should then be shortly described in the field 'Principles of method if other than guideline', while details can be given in other distinct fields.  Please note: Test guidelines used for the validation of (Q)SAR models should be reported in the description of the relevant model in field 'Justification for type of information' or 'Attached justification'. | **Guidance for field condition:** Condition: Field active only if 'Qualifier' is not 'no guideline ...' |
|  | Version / remarks | Text (2,000 char.)  Display: Basic |  | In this text field, you can enter any remarks as applicable, particularly:  - To include any other title of the test guideline draft used, a subtitle, another version or update number and the year of update (For instance, different titles and/or numbers may exist for a given EU test guideline);  - To indicate if the study was performed prior to the adoption of the test guideline specified;  - To indicate if the methodology used was based on an extension of the test guideline specified;  - To indicate what protocol was followed for methods that allow the optional determination of more than one parameter if this cannot be indicated in a distinct field of the Materials and methods section. | **Guidance for field condition:** Condition: Field active only if 'Qualifier' is not 'no guideline ...' |
|  | Deviations | List sup. (picklist with remarks)  Display: Basic | **Picklist values:** - yes - no - not applicable - not specified | In case a test guideline or other standardised method was used, indicate if there are any deviations. Briefly state relevant deviations in the supplementary remarks field (e.g. 'other test system used', 'different exposure duration'); details should be described in the respective fields of the section MATERIALS AND METHODS. | **Guidance for field condition:** Condition: Field active only if 'Qualifier' is not 'no guideline ...' |
|  | **Test guideline** | **Block of fields (repeatable) End** |  |  |  |
|  | Principles of method if other than guideline | Text template  Display: Basic | **Freetext template:  Option 1 Method of non-guideline study** - Principle of test: - Short description of test conditions: - Parameters analysed / observed: **Option 2 (Q)SAR** - Software tool(s) used including version: - Model(s) used: - Model description: see field 'Justification for non-standard information', 'Attached justification' and/or 'Cross-reference' - Justification of QSAR prediction: see field 'Justification for type of information', 'Attached justification' and/or 'Cross-reference' | If no guideline was followed, include a description of the principles of the test protocol or estimated method used in the study. As appropriate use either of the pre-defined freetext template options for 'Method of non-guideline study' or '(Q)SAR'. Delete / add elements and edit text set in square brackets [...] as appropriate.  For a non-guideline experimental study a high-level freetext template can be used for summarising the principle of test, test conditions and parameters analysed / observed.   If the freetext template for (Q)SAR is selected, indicate the QSAR model(s) or platform including version and the software tool(s) used. Detailed justification of the model and prediction should be provided in field(s) 'Justification for type of information', 'Attached justification' and/or 'Cross-reference' as appropriate.  Details should be entered in appropriate distinct fields of section MATERIALS AND METHODS if available. Also provide a justification for using this method if appropriate. |  |
|  | GLP compliance | List sup. (picklist with remarks)  Display: Basic | **Picklist values:** - yes (incl. QA statement) - yes - no - not specified | Indicate whether the study was conducted following Good Laboratory Practice or not. In case 'yes’ is selected, a Quality Assurance (QA) statement must be provided with the report. You can give an explanation in the supplementary remarks field, e.g. for explaining why GLP was not complied with or for specifying which (national) GLP was followed. |  |
|  | **Test material** | **Header 2** |  |  |  |
|  | Test material information | Link to entity (single)  Display: Basic |  | Select the appropriate Test Material Information (TMI) record. If not available in the repository, create a new one. You may also copy (clone) an existing TMI record, edit it and store it as new TMI.  To change the link to an existing TMI, click the Delete button, then the Link button and proceed as described above.  Depending on the purpose of the reporting or data submission, the information that must be provided may change. As a minimum, the chemical name, identifier and/or CAS number and molecular weight must be provided. | **Cross-reference:** TEST\_MATERIAL\_INFORMATION |
|  | Additional test material information | Link to entity (multiple)  Display: Basic |  | Select additional Test material information record if relevant. For example, in longer terms studies more than one batch of test material can be needed or there may be differences between the labelled and unlabelled test materials. | **Cross-reference:** TEST\_MATERIAL\_INFORMATION |
|  | Specific details on test material used for the study | Text template  Display: Basic | **Freetext template:** SOURCE OF TEST MATERIAL - Source (i.e. manufacturer or supplier) and lot/batch number of test material: - Purity, including information on contaminants, isomers, etc.:  RADIOLABELLING INFORMATION (if applicable) - Radiochemical purity: - Specific activity: - Locations of the label: - Expiration date of radiochemical substance:  STABILITY AND STORAGE CONDITIONS OF TEST MATERIAL - Storage condition of test material: - Stability and homogeneity of the test material in the vehicle/solvent under test conditions (e.g. in the exposure medium) and during storage: - Stability in the medium, i.e. sensitivity of the test material to hydrolysis and/or photolysis: - Solubility and stability of the test material in the solvent/vehicle and the exposure medium: - Reactivity of the test material with the incubation material used (e.g. plastic ware):  TREATMENT OF TEST MATERIAL PRIOR TO TESTING - Treatment of test material prior to testing (e.g. warming, grinding): - Preliminary purification step (if any): - Final concentration of a dissolved solid, stock liquid or gel: - Final preparation of a solid (e.g. stock crystals ground to fine powder using a mortar and pestle):  FORM AS APPLIED IN THE TEST (if different from that of starting material) - Specify the relevant form characteristics if different from those in the starting material, such as state of aggregation, shape of particles or particle size distribution:  INFORMATION ON NANOMATERIALS - Chemical Composition: - Density: - Particle size & distribution: - Specific surface area: - Isoelectric point: - Dissolution (rate):  TYPE OF BIOCIDE/PESTICIDE FORMULATION (if applicable) - Description of the formulation, e.g. formulated product for foliar application; formulated product soil application; solution in organic solvent for soil application; formulated product seed treatment; solution in organic solvent seed treatment:  OTHER SPECIFICS - Other relevant information needed for characterising the tested material, e.g. if radiolabelled, adjustment of pH, osmolality and precipitate in the culture medium to which the test chemical is added: | Use this field for reporting specific details on the test material as used for the study if they differ from the starting material specified under 'Test material information'. This can include information on the pre-defined items, but not all or additional ones may be relevant.  Use freetext template and delete/add elements as appropriate. Enter any details that could be relevant for evaluating this study summary or that are requested by the respective regulatory programme. Consult the programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) thereof.  If applicable, relevant available information on the following items should be given:  SOURCE OF TEST MATERIAL  - Source and lot/batch No. of test material  - Expiration date of the lot/batch  - Purity test date: provide if available  RADIOLABELLING INFORMATION  - Radiochemical purity  - Specific activity  - Locations of the label  - Expiration date of radiochemical substance  STABILITY AND STORAGE CONDITIONS OF TEST MATERIAL  - Storage condition of test material  - Stability under test conditions  - Solubility and stability of the test substance in the solvent/vehicle  - Reactivity of the test substance with the solvent/vehicle or the cell culture medium  TREATMENT OF TEST MATERIAL PRIOR TO TESTING  - Treatment of test material prior to testing (e.g. warming, grinding)  - Preliminary purification step  - Final dilution of a soluble solid, stock liquid, or gel (e.g., neat liquid, stock diluted liquid, or dissolved solid) to final concentration and the solvent(s) used  - Final preparation of a solid (e.g. stock crystals ground to fine powder using a mortar and pestle)  FORM AS APPLIED IN THE TEST (if different from that of starting material)  Specify the relevant form characteristics if different from those in the starting material, such as state of aggregation, shape of particles or particle size distribution.  FORMULATED PRODUCT (for biocides/pesticides)  Description of the formulation, e.g. formulated product for foliar application; formulated product soil application; solution in organic solvent for soil application: formulated product seed treatment; solution in organic solvent seed treatment.  OTHER SPECIFICS  Provide any other relevant information needed for characterising the tested material. |  |
|  | Specific details on test material used for the study (confidential) | Text template  Display: Basic (Confidential) | **Freetext template:** SOURCE OF TEST MATERIAL - Source (i.e. manufacturer or supplier) and lot/batch number of test material: - Purity, including information on contaminants, isomers, etc.:  RADIOLABELLING INFORMATION (if applicable) - Radiochemical purity: - Specific activity: - Locations of the label: - Expiration date of radiochemical substance:  STABILITY AND STORAGE CONDITIONS OF TEST MATERIAL - Storage condition of test material: - Stability and homogeneity of the test material in the vehicle/solvent under test conditions (e.g. in the exposure medium) and during storage: - Stability in the medium, i.e. sensitivity of the test material to hydrolysis and/or photolysis: - Solubility and stability of the test material in the solvent/vehicle and the exposure medium: - Reactivity of the test material with the incubation material used (e.g. plastic ware):  TREATMENT OF TEST MATERIAL PRIOR TO TESTING - Treatment of test material prior to testing (e.g. warming, grinding): - Preliminary purification step (if any): - Final concentration of a dissolved solid, stock liquid or gel: - Final preparation of a solid (e.g. stock crystals ground to fine powder using a mortar and pestle):  FORM AS APPLIED IN THE TEST (if different from that of starting material) - Specify the relevant form characteristics if different from those in the starting material, such as state of aggregation, shape of particles or particle size distribution:  INFORMATION ON NANOMATERIALS - Chemical Composition: - Density: - Particle size & distribution: - Specific surface area: - Isoelectric point: - Dissolution (rate):  TYPE OF BIOCIDE/PESTICIDE FORMULATION (if applicable) - Description of the formulation, e.g. formulated product for foliar application; formulated product soil application; solution in organic solvent for soil application; formulated product seed treatment; solution in organic solvent seed treatment:  OTHER SPECIFICS - Other relevant information needed for characterising the tested material, e.g. if radiolabelled, adjustment of pH, osmolality and precipitate in the culture medium to which the test chemical is added: | Use this field for reporting specific details on the test material as used for the study if they differ from the starting material specified under 'Test material information'. This can include information on the pre-defined items, but not all or additional ones may be relevant.  Use freetext template and delete/add elements as appropriate. Enter any details that could be relevant for evaluating this study summary or that are requested by the respective regulatory programme. Consult the programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) thereof.  If applicable, relevant available information on the following items should be given:  SOURCE OF TEST MATERIAL  - Source and lot/batch No. of test material  - Expiration date of the lot/batch  - Purity test date: provide if available  RADIOLABELLING INFORMATION  - Radiochemical purity  - Specific activity  - Locations of the label  - Expiration date of radiochemical substance  STABILITY AND STORAGE CONDITIONS OF TEST MATERIAL  - Storage condition of test material  - Stability under test conditions  - Solubility and stability of the test substance in the solvent/vehicle  - Reactivity of the test substance with the solvent/vehicle or the cell culture medium  TREATMENT OF TEST MATERIAL PRIOR TO TESTING  - Treatment of test material prior to testing (e.g. warming, grinding)  - Preliminary purification step  - Final dilution of a soluble solid, stock liquid, or gel (e.g., neat liquid, stock diluted liquid, or dissolved solid) to final concentration and the solvent(s) used  - Final preparation of a solid (e.g. stock crystals ground to fine powder using a mortar and pestle)  FORM AS APPLIED IN THE TEST (if different from that of starting material)  Specify the relevant form characteristics if different from those in the starting material, such as state of aggregation, shape of particles or particle size distribution.  FORMULATED PRODUCT (for biocides/pesticides)  Description of the formulation, e.g. formulated product for foliar application; formulated product soil application; solution in organic solvent for soil application: formulated product seed treatment; solution in organic solvent seed treatment.  OTHER SPECIFICS  Provide any other relevant information needed for characterising the tested material. |  |
|  | **Method** | **Header 2** |  |  |  |
|  | Type of population | List multi. (multi-select list)  Display: Basic | **Picklist values:** - general - occupational - other: - not specified | Indicate whether subjects of the general population and/or from an occupational environment were investigated. If two independent studies are reported by the same report, use two separate records. |  |
|  | Ethical approval | List sup. (picklist with remarks)  Display: Detailed | **Picklist values:** - confirmed and informed consent free of coercion received - confirmed, but no further information available - no - not applicable - not specified - other: | Where ethical approval is required, indicate whether and what kind of consent was received from the persons studied. Include details in the supplementary remarks field. If 'not applicable' or 'no' is selected, give reasoning as appropriate. |  |
|  | Details on study design | Text (32,768 char.)  Display: Detailed |  | Include detailed information on the design of the health surveillance programme and exposure to the substance in question and to other chemicals. Include or attach tables as appropriate. |  |
|  | **Any other information on materials and methods incl. tables** | **Header 2** |  |  |  |
|  |  | Text (rich-text area)  Display: Basic |  | In this field, you can enter any information on materials and methods, for which no distinct field is available, or transfer free text from other databases. You can also open a rich text editor and create formatted text and tables or insert and edit any excerpt from a word processing or spreadsheet document, provided it was converted to the HTML format. You can also upload any htm or html document.  Note: One rich text editor field each is provided for the MATERIALS AND METHODS and RESULTS section. In addition the fields 'Overall remarks' and 'Executive summary' allow rich text entry. |  |
|  | **Results and discussion** | **Header 1** |  |  |  |
|  | Results | Text (32,768 char.)  Display: Basic |  | Describe the results of the health surveillance study. In addition, include or attach tables and/or an excerpt from the study report. |  |
|  | **Any other information on results incl. tables** | **Header 2** |  |  |  |
|  |  | Text (rich-text area)  Display: Basic |  | In this field, you can enter any other remarks on results. You can also open a rich text editor and create formatted text and tables or insert and edit any excerpt from a word processing or spreadsheet document, provided it was converted to the HTML format.  Note: One rich text editor field each is provided for the MATERIALS AND METHODS and RESULTS section. In addition the fields 'Overall remarks' and 'Executive summary' allow rich text entry. |  |
|  | **Overall remarks, attachments** | **Header 1** |  |  |  |
|  | Overall remarks | Text (rich-text area)  Display: Basic |  | In this field, you can enter any overall remarks or transfer free text from other databases. You can also open a rich text editor and create formatted text and tables or insert and edit any excerpt from a word processing or spreadsheet document, provided it was converted to the HTML format. You can also upload any htm or html document.  Note: One rich text editor field each is provided for the MATERIALS AND METHODS and RESULTS section. In addition the fields 'Overall remarks' and 'Executive summary' allow rich text entry. |  |
|  | **Attachments** | **Block of fields (repeatable) Start** |  | Attach any background document that cannot be inserted in any rich text editor field, particularly image files (e.g. an image of a structural formula).  Copy this block of fields for attaching more than one file. |  |
|  | Type | List (picklist)  Display: Basic | **Picklist values:** - full study report - other: | Specify the type of attachment inserted, for example the 'full study report'. |  |
|  | Attached (confidential) document | Attachment (single)  Display: Basic (Confidential) |  | An electronic copy of the full study report or other documents can be attached as Word, pdf or other file types. |  |
|  | Attached (sanitised) documents for publication | Attachment (single)  Display: Basic |  | An electronic copy of a public (non-confidential) version of the full study report or other relevant documents can be attached. This attachment should be sanitised if needed. |  |
|  | Remarks | Text (255 char.)  Display: Basic |  | As appropriate, include remarks, e.g. a short description of the content of the attached document if the file name is not self-explanatory. |  |
|  | **Attachments** | **Block of fields (repeatable) End** |  |  |  |
|  | Illustration (picture/graph) | Image upload  Display: Basic |  | Upload file by clicking the upload icon. As appropriate, enter any additional information, e.g. language. The file name is displayed after uploading the document. |  |
|  | **Applicant's summary and conclusion** | **Header 1** |  |  |  |
|  | Conclusions | Text (32,768 char.)  Display: Basic |  | Enter any conclusions if applicable in addition to the information given in fields 'Key results' and 'Interpretation of results' (if any). |  |
|  | Executive summary | Text (rich-text area)  Display: Basic |  | If relevant for the respective regulatory programme, briefly summarise the relevant aspects of the study including the conclusions reached. If a specific format is prescribed, copy it from the corresponding document or upload it if provided as htm or html document.  Consult the programme-specific guidance (e.g. OECD Programme, Pesticides NAFTA or EU REACH) thereof. |  |